

CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 1077.1011-D	
<i>(Only for continuing applications under 37 CFR 1.53(b))</i>		First Named Inventor: Takeshi SHIOGA, et al.	
		Title:	CAPACITOR AND SEMICONDUCTOR DEVICE AND METHOD FOR FABRICATING THE SEMICONDUCTOR DEVICE
		Express Mail Label No.	
APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO: Commissioner for Patents Box Patent Application PO Box 1450 Alexandria, VA 22313-1450		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> Fee Transmittal Form 2. <input checked="" type="checkbox"/> Specification, Claims & Abstract..... [Total Pages: <u>84</u>] 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113)..... [Total Sheets: <u>40</u>] [FIGS. 1-40] 4. <input checked="" type="checkbox"/> Oath or Declaration..... [Total Pages: <u>4</u>] <ol style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) 5. <input type="checkbox"/> This application is filed by fewer than all the inventors named in the prior nonprovisional application. <ol style="list-style-type: none"> a. <input type="checkbox"/> DELETE the following inventor(s) named in the prior nonprovisional application: b. <input type="checkbox"/> The inventor(s) to be deleted are set forth on a separate sheet attached hereto. 6. <input type="checkbox"/> Verified Statement Claiming Small Entity Status 7. <input checked="" type="checkbox"/> Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 8. <input checked="" type="checkbox"/> Applicant claims foreign priority benefit to: <u>Japanese Application 2001-018132 filed January 26, 2001;</u> <u>Japanese Application 2001-100184 filed March 30, 2001</u> 9. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76 10. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix) 11. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ol style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: <ol style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statement verifying identity of above copies 12. <input checked="" type="checkbox"/> Assignment to Fujitsu Limited of Kawasaki, Japan at Reel 012196, Frame 0515 <input checked="" type="checkbox"/> for publication of assignee information under 37 CFR 1.215(b) 			
ACCOMPANYING APPLICATION PARTS			
<ol style="list-style-type: none"> 13. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney 14. <input type="checkbox"/> English Translation Document (if applicable) 15. <input checked="" type="checkbox"/> Foreign priority benefit under 35 U.S.C. §119 is claimed. <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) filed in prior application No. 09/956,944. b. <input type="checkbox"/> Certified Copy of Priority Document(s) enclosed. c. <input type="checkbox"/> Certified Copy of Priority Document(s) to follow. 16. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 17. <input checked="" type="checkbox"/> Preliminary Amendment <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> enclosed herewith. b. <input checked="" type="checkbox"/> incorporated herein (see Box 21). 18. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 19. <input type="checkbox"/> Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent 20. <input checked="" type="checkbox"/> Other: Request for Change of Address 			

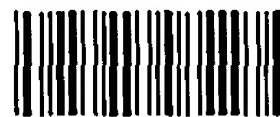
21. CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/956,944.

Prior application information: Examiner: Greene, Pershelle L
Group/Art Unit: 2826

Preliminary Amendment:

- ☒ Cancel in this application original claims 1-12, 14 and 15 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ Amend the specification by inserting before the first line the sentence:--This application is a divisional of application number 09/956,944, filed September 21, 2001, now allowed.--

22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**21171**

PATENT TRADEMARK OFFICE

23. SIGNATURE OF ATTORNEY OR AGENT

NAME	Mark J. Henry	REGISTRATION NO.	36,162
SIGNATURE		DATE	July 30 2003

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1077.1011-D
		Application Number	
		Filing Date	July 30, 2003
AMOUNT ENCLOSED	\$ 1224.00	First Named Inventor	Takeshi SHIOGA, et al.

FEE CALCULATION (fees effective 10/01/01)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	23 - 20 =	3	X \$ 18.00 =	\$ 54.00
	INDEPENDENT CLAIMS	8 - 3 =	5	X \$ 84.00 =	420.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =	
	BASIC FILING FEE				750.00
	Total of above Calculations =				\$ 1224.00
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)				
	Reduction by 50% for filing by small entity (37 CFR 1.27).				
	TOTAL FILING FEE =				\$ 1224.00
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))				
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))				
	TOTAL FEES DUE =				\$ 1224.00

METHOD OF PAYMENT

- ☒ Check enclosed as payment.
- ☐ Charge "TOTAL FEES DUE" to the Deposit Account No. below.
- ☐ No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).

GENERAL AUTHORIZATION

- ☒ If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:

Deposit Account No.


19-3935

Deposit Account Name

STAAS & HALSEY LLP

- ☒ The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.

SUBMITTED BY: STAAS & HALSEY LLP

Typed Name	Mark J. Henry	Reg. No.	36,162
Signature		Date	July 30, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Takeshi SHIOGA, et al.

Serial No.

Group Art Unit:

Confirmation No.

Filed: July 30, 2003

Examiner:

For: CAPACITOR AND SEMICONDUCTOR DEVICE (As Amended)

REQUEST FOR CHANGE OF ADDRESS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Please correct the address of the undersigned attorney for applicants to:



21171

PATENT TRADEMARK OFFICE

Respectfully submitted,

STAAS & HALSEY LLP

Date: July 30 2003

By: Mark J. Henry
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